

PATENT Docket No. 27475/07709

## UNITED STATES PATENT AND TRADEMARK OFFICE

| In re patent application of Hagopian et al. | •                             |
|---|-------------------------------|
|   | : Examiner: Leszek B. Kiliman |

U.S. Serial No.: 10/710,810 : Art Unit No.: 1773

Filed: 08/04/2004 :

For: PRODUCT COMPRISING A THIN-FILMED : CUSTOMER NO. 24024 :

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THREE-DIMENSIONAL SUBSTRATE :

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VIRGINIA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

| 1.       | Pursuant     | to 37    | C.F.R.   | 1.97 a   | and 1.98  | 3, and | in   | compliance    | with   | 37   | C.F.R.    | 1.56,  | the   | Office's |
|----------|--------------|----------|----------|----------|-----------|--------|------|---------------|--------|------|-----------|--------|-------|----------|
| attentio | on is direct | ed to th | ne paten | ts, publ | lications | and o  | thei | information   | listed | on   | the attac | ched P | TO-   | 1449. A  |
| сору с   | of each list | ed doc   | ument i  | s enclo  | sed exc   | ept fo | r:   | (a) pending   | applic | atio | ns or (l  | ) thos | se pr | eviously |
|          |              |          |          |          |           |        |      | ation(s) upon |        |      |           |        |       |          |
|          | filing date  |          |          |          |           | •      |      |               |        |      |           |        |       |          |

| Serial No.:  |  |
|--------------|--|
| Filing Date: |  |

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

- 2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
  - [] (a) Copy of English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
  - [] (b) Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents". (See attached International Search Report).
- 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed:
  - [] (a) Within 3 months of the filing date or date of entry into the National Stage.

|          | []   | (b) Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 03-0172 for consideration of this Statement. |  |  |  |  |
|----------|--|---|--|--|--|--|
|          | []   | (c) After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.  |  |  |  |  |
|          |  | [] (1) The required certification is given below, or  |  |  |  |  |
|          |  | [] (2) Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 03-0172.  |  |  |  |  |
|          | [] (d) After the mailing date of either a final action or a notice of allowance, but be payment of the issue fee. Petition hereby is made for consideration of this Statement the required certification is indicated below. |   |  |  |  |  |
|          |  | [] (1) Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 03-0172.   |  |  |  |  |
| 4.       | Certific   | Certification (if applicable)   |  |  |  |  |
|          | []   | (a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.   |  |  |  |  |
|          | []   | (b) The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.           |  |  |  |  |
| 5.       |  | ommissioner is hereby authorized to charge any additional fees or credit any overpayment osit Account No. 03-0172.  |  |  |  |  |
|          |  | Respectfully submitted,  96 fmt  Kenneth J. Smith  Attorney for Applicants  Reg. No. 45,115   |  |  |  |  |
| day of a | April, 20 ed to: Co  | Certificate of Mailing V certify that this INFORMATION DISCLOSURE STATEMENT is being deposited on this, the 18th 106, with the United States Postal Service with sufficient postage as first class mail in an envelope ommissioner for Patents, P.O. Box 1450, Alexandra, VA 22313 (1450.   |  |  |  |  |
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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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| Complete if Known   |                   |  |  |  |  |
| Application Number  | 10/710,810        |  |  |  |  |
| Filing Date   | 08/04/2           |  |  |  |  |
| First Named Inventor  | Hagopian et al.   |  |  |  |  |
| Art Unit  | 1773              |  |  |  |  |
| Examiner Name   | Leszek B. Kiliman |  |  |  |  |
| Attorney Docket Number  | 27475/07709       |  |  |  |  |

|                    |              |   | U. S. PATEN                    | DOCUMENTS  |   |
|--------------------|--------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite<br>No.1 | Document Number                         | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
| /LK/               |              | Number-Kind Code <sup>2 (I known)</sup> | 01/20/72                       | Grecchi  | · · · · · · · · · · · · · · · · · · ·   |
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| Examiner<br>Initials*    | Cite<br>No.1 | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |   |  |  |  |
|                          |              | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        |   |  |  |  |
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| Examiner  | /Leszek Kiliman/ | Date       | 03/31/2008zek Kılıman/ |
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| Signature |                  | Considered |                        |

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Translation is attached.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number 10/710,810 Filing Date 08/04/2 INFORMATION DISCLOSURE First Named Inventor Hagopian et al. STATEMENT BY APPLICANT Art Unit 1773 (Use as many sheets as necessary) Examiner Name Leszek B. Kiliman 27475/07709 Attorney Docket Number Sheet 2

| Examiner                                | Cito                     | Document Number                         | Publication Date | Name of Patentee or         | Pages, Columns, Lines, Where                    |  |
|---|--------------------------|---|------------------|-----------------------------|---|--|
| Initials*                               | Cite<br>No. <sup>1</sup> | Number-Kind Code <sup>2 (# known)</sup> | MM-DD-YYYY       | Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |  |
| /LK/                                    |                          | <sup>US-</sup> 6,231,931                | 05/2001          | Blazey et al.               |   |  |
| XXX                                     |                          | <sup>US-</sup> 6,268,022                | 07/2001          | Schlegel et al.             |   |  |
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| V                                       |                          | <sup>US-</sup> 5,290,598                | 03/1994          | Myers                       |   |  |
| /LK/                                    |                          | <sup>US-</sup> RE36,378                 | 11/1999          | Mellette                    |   |  |
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| Examiner<br>Initiats*    | Cite<br>No.1 | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |   |  |  |  |
|                          | 140.         | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        |   |  |  |  |
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| Examiner<br>Signature | /Leszek Killman/ | Date<br>Considered | 03/31/2008 |
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|                                   |                  |          |            | Application Number     | 10/710,810        |  |  |
| INF                               | ORMATION         | DIS      | CLOSURE    | Filing Date            | 08/04/2004        |  |  |
| STA                               | TEMENT B         | SY A     | PPLICANT   | First Named Inventor   | Hagopian et al.   |  |  |
|                                   | (Use as many she | ets as r | necessary) | Art Unit               | 1773              |  |  |
| (000 as many shoots as necessary) |                  |          |            | Examiner Name          | Leszek B. Kiliman |  |  |
| Sheet                             | 3                | of       | 3          | Attorney Docket Number | 27475/07709       |  |  |

| Examiner<br>Initials* | Cite<br>No.1 |  |  |
|-----------------------|--------------|--|--|
| /LK/                  |              | Article "Color Stabilization of Sood and Durability Improvement of Wood Coatings", by Daniel Rogez, Industry Manager Wood Coatings, Ciba Specialty Chemicals, Inc., Basel, |  |
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| /LK/                  |              | Office Action dated 01/09/2006; U.S.S.N. 10/710,805.   |  |
| /LK/                  |              | Office Action dated 07/05/2005; U.S.S.N. 10/710,805.   |  |
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| Examiner  | /Leszek Kiliman/ | Date       | 03/31/2008 |
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| Signature |                  | Considered |            |

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